

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

SYSCAN, INC,

No C-03-2367 VRW

Plaintiff,

CLAIM CONSTRUCTION ORDER

v

PORTABLE PERIPHERAL CO, LTD, et  
al,

Defendants.

On October 14, 2005, the court held a claim construction hearing pursuant to Markman v Westview Instruments, Inc, 517 US 370 (1996). Based on the parties' arguments at the hearing and their submissions to the court, the court issues the following claim construction order.

There are three patents-in-suit, all relating to inventions in the field of image scanning. For ease of cross-reference to the parties' submissions, the court will discuss the patents and construe their terms in the same sequence as the patents appear in the parties' submissions. As the court writes

1 principally for the parties, it will not discuss the details of the  
2 inventions or define terms well-known to those skilled in the art,  
3 except as is necessary to construe the claims of the patents. Nor  
4 will the court recapitulate the parties' agreed-upon constructions  
5 contained in the joint claim construction and prehearing statement,  
6 Doc #6, to the extent the court agrees with those constructions.  
7 The court will, however, discuss constructions that were initially  
8 disputed but subsequently agreed upon by the parties.

9  
10 I

11 US Patent No 6,275,309 (the "'309 patent"), issued on  
12 August 14, 2001, to Darwin Hu, Alpha Hou, Dongtai Lu, and Chengrong  
13 Lu, discloses "lightweight mobile scanners." The scanner's light  
14 weight is achieved by including "only the minimum components to  
15 operate as a scanner." '309 Patent, Abstract. The scanner "does  
16 not have a separate power supply," and "unlike many scanners in the  
17 market, there is not a single microcontroller in the disclosed  
18 mobile scanner \* \* \*." Id.

19 US Patent No 6,459,506 (the "'506 patent"), issued on  
20 October 1, 2002, to Darwin Hu and Alpha Hou, discloses a  
21 "lightweight dual-mode mobile scanner powered from a universal  
22 serial bus [("USB")] port." The disclosed scanner is "capable of  
23 being powered through a [USB] connection" and "the individual  
24 components of the portable scanner are selectively and controllably  
25 powered so as to function within the power limitations of the [USB]  
26 port \* \* \* without appreciable degradation of a captured image,"  
27 whether that image is captured from transparent (e g, film) or  
28 opaque (e g, paper) media. '506 patent, Abstract. The '506 patent

1 is a continuation-in-part of the '309 patent. Id, col 1, ll 7-8.  
2 Apart from the '506 patent's utilization of USB technology, the  
3 claims of the '309 patent and '506 patent are very similar.

4 US Patent No 6,054,707 (the "'707 patent"), issued on  
5 April 25, 2000, to Alpha Hou, discloses "portable scanners capable  
6 of scanning both opaque and transparent materials." The disclosed  
7 scanners' dual-mode capabilities is achieved by a "dual-  
8 illumination system comprising a front illumination source and a  
9 back illumination source." '707 patent, Abstract.

## 11 II

12 The construction of patent claims is a question of law to  
13 be determined by the court. Markman v Westview Instruments, Inc,  
14 517 US 370 (1996). The goal of claim construction is "to interpret  
15 what the patentee meant by a particular term or phrase in a claim."  
16 Renishaw PLC v Marposs Societa per Azioni, 158 F3d 1243, 1249 (Fed  
17 Cir 1998). In determining what a patentee meant by a term or  
18 phrase, the court looks first to the claim itself:

19 The claims of the patent provide the concise formal  
20 definition of the invention. They are the numbered  
21 paragraphs which "particularly [point] out and  
22 distinctly [claim] the subject matter which the  
23 applicant regards as his invention." 35 USC § 112.  
24 It is to these wordings that one must look to  
25 determine whether there has been infringement.  
26 Courts can neither broaden nor narrow the claims to  
27 give the patentee something different than what he  
28 has set forth. No matter how great the temptations  
of fairness or policy making, courts do not rework  
claims. They only interpret them.

EI Du Pont de Nemours & Co v Phillips Petroleum Co, 849 F2d 1430,  
1433 (Fed Cir 1988).

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1           “The claims define the scope of the right to exclude; the  
2 claim construction inquiry, therefore, begins and ends in all cases  
3 with the actual words of the claim.” Renishaw, 158 F3d at 1248.

4           “The words used in the claim are viewed through the viewing glass  
5 of a person skilled in the art.” Brookhill-Wilk 1, LLC v Intuitive  
6 Surgical, Inc, 326 F3d 1215, 1220 (Fed Cir 2003) (citing Tegal Corp  
7 v Tokyo Electron Am, Inc, 257 F3d 1331, 1342 (Fed Cir 2001)).

8           “Absent a special and particular definition created by the patent  
9 applicant, terms in a claim are to be given their ordinary and  
10 accustomed meaning.” York Prods, Inc v Central Tractor Farm &  
11 Family Ctr, 99 F3d 1568, 1572 (Fed Cir 1996). The court may, if  
12 necessary, consult a variety of sources to determine the ordinary  
13 and customary meaning of a claim term, including “the words of the  
14 claims themselves, the remainder of the specification, the  
15 prosecution history, and extrinsic evidence concerning relevant  
16 scientific principles, the meaning of technical terms, and the  
17 state of the art.” Innova/Pure Water, Inc v Safari Water, 381 F3d  
18 1111, 1116 (Fed Cir 2004).

19           The court begins its construction of claim terms by  
20 consulting intrinsic evidence of the meaning of disputed claim  
21 terms, which includes the claims, the specification and the  
22 prosecution history (if in evidence). Lacks Industries, Inc v  
23 McKechnie Vehicle Components USA, Inc, 322 F3d 1335, 1341 (Fed Cir  
24 2003). “If upon examination of this intrinsic evidence the meaning  
25 of the claim language is sufficiently clear, resort to ‘extrinsic’  
26 evidence, such as treatises and technical references, as well as  
27 expert testimony when appropriate, should not be necessary.”  
28 Digital Biometrics, Inc, v Identix, Inc, 149 F3d 1335, 1344 (Fed

1 Cir 1998). "[I]f after consideration of the intrinsic evidence,  
2 there remains doubt as to the exact meaning of the claim terms,  
3 consideration of extrinsic evidence may be necessary to determine  
4 the proper construction." Id. Although extrinsic evidence such as  
5 expert and inventor testimony, dictionaries and learned treatises  
6 can shed useful light on the relevant art, it is less significant  
7 than the intrinsic record in determining the legally operative  
8 meaning of claim language. Phillips v AWH Corp, 415 F3d 1303, 1317  
9 (Fed Cir 2005).

10 "[A] court may constrict the ordinary meaning of a claim  
11 term in \* \* \* one of four ways[:]" (1) "if the patentee acted as  
12 his own lexicographer and clearly set forth a definition of the  
13 disputed claim in either the specification or prosecution history;"  
14 (2) if the intrinsic evidence shows that the patentee distinguished  
15 the term from prior art on the basis of a particular embodiment,  
16 expressly disclaimed subject matter, or described a particular  
17 embodiment as important to the invention; (3) "if the term chosen  
18 by the patentee so deprives the claim of clarity as to require  
19 resort to other intrinsic evidence for a definite meaning; and (4)  
20 "if the patentee phrased the claim in step- or means-plus-function  
21 format," then "a claim term will cover nothing more than the  
22 corresponding structure or step disclosed in the specification, as  
23 well as equivalents thereto \* \* \*." CCS Fitness, Inc v Brunswick  
24 Corp, 288 F3d 1359, 1366-67 (Fed Cir 2002) (internal citations and  
25 quotation marks omitted).

26 Limitations from the specification, such as from the  
27 preferred embodiment, cannot be read into the claims absent an  
28 express intention to do so. Teleflex, Inc v Ficosa North Am Corp,

299 F3d 1313, 1326 (Fed Cir 2002) ("The claims must be read in view of the specification, but limitations from the specification are not to be read into the claims."). But "a construction that excludes a preferred embodiment 'is rarely, if ever, correct.'" C R Bard, Inc v US Surgical Corp, 388 F3d 858, 865 (Fed Cir 2004) (citing Vitronics, 90 F3d at 1583). Conversely, if "the specification makes clear that the invention does not include a particular feature, that feature is deemed to be outside the reach of the claims of the patent, even though the language of the claims, read without reference to the specification, might be considered broad enough to encompass the feature in question." SciMed Life Systems, Inc v Advanced Cardiovascular Systems, Inc, 242 F3d 1337, 1341 (Fed Cir 2001).

With these legal principles in mind, the court turns to the construction of the disputed claim language of the three patents-in-suit.

### III

#### A

#### *The '309 Patent*

##### 1 "interface module"

The parties dispute whether the interface module can be contained within the scanner's main case.

The mobile scanner in claim 1 comprises an image sensing module, a motion mechanism and "an interface module coupling the image sensing module and the motion mechanism to a computing device and receiving a power supply and system control signals from the computing device." '309 patent, col 10, ll 39-57. The parties

1 agree that the quoted phrase means "an interface engine received in  
2 an external computing device coupling the motion mechanism to a  
3 computing device and receiving a power supply and system control  
4 signals from the computing device." Doc #36, Ex 1 at 2. It would  
5 thus appear the parties agree that "interface module" means  
6 "interface engine received in an external computing device." Only  
7 defendants propose this construction, but the parties implicitly  
8 agree a person skilled in the art would understand that if the  
9 interface module is received in an external computing device, it  
10 cannot reside within the scanner itself. Plaintiff, however,  
11 contends that nothing in the claims suggests the interface module  
12 must reside outside of the scanner.

13           The specification clearly distinguishes the disclosed  
14 scanner from the prior art on the basis of the disclosed scanner's  
15 minimalist approach. "The disclosed invention, for the first time,  
16 provides a mobile scanner that has only the minimum components to  
17 operate." '309 patent, col 2, l 27 (emphasis added). "Further,  
18 unlike many scanners in the market, there is not a single  
19 microcontroller in the disclosed scanner \* \* \*." Id, ll 29-31.  
20 The "Summary of the Invention" section goes on to state that one  
21 feature of the "present invention" is "the scanner itself comprises  
22 only an image sensing module and a motion mechanism," which are  
23 "coupled to an interface engine that is typically received in a  
24 computing device." Id, ll 36-38, 44-45 (emphasis added).

25           The specification later states that "fundamentally  
26 different from the scanners in the market, there is no  
27 microcontroller and other electronic components in main module to  
28 control the operation of the image sensor and the illumination

1 source." Id, col 6, ll 63-67 (emphasis added). It is unclear  
2 whether this statement refers to all embodiments or a particular  
3 embodiment; the specification does not describe any embodiment  
4 where electronic components that control the scanner are within the  
5 main case. If the court were to treat this as a statement  
6 distinguishing all scanners containing any such electronic  
7 components within the main case, it would be clear that the  
8 interface module could not reside in the main module because the  
9 interface module contains control circuitry (i e, electronic  
10 components that control the scanner). This statement appears to  
11 shed light on statements contained in the "Summary of the  
12 Invention," discussed above.

13           Specifically, the patentee clearly limited the scope of  
14 the invention to scanners where the "scanner itself" contains  
15 "only" the minimum components needed to operate, viz, a motion  
16 mechanism and an image sensing module. By "scanner itself," the  
17 patentee meant the main module, depictions of which appear in the  
18 diagrams of the invention. The court finds that the patentee did  
19 not intend the invention to encompass scanners where the main case  
20 housed components in addition to the image sensing module and the  
21 motion mechanism. On the other hand, the court finds that the  
22 patentee did contemplate embodiments where the interface engine  
23 would not be implemented in a card that is received within an  
24 external computing device. See '309 patent, col 2, ll 43-45 ("Both  
25 of the image sensing module and the motion mechanism are coupled to  
26 an interface engine that is typically received in a computing  
27 device." (emphasis added)). This is consistent with the patentee's  
28 use of the distinct (albeit related) term "interface card,"



1 discussed below.

2           Aside from the location of the interface module,  
3 plaintiff proposes that the term be construed to account for the  
4 fact that the interface module "comprises a control circuit that  
5 receives system control signals from the computing device and  
6 generates logical control signals for the image sensing module and  
7 the motion mechanism to operate in synchronization." Accounting  
8 for these features in the construction of the term "interface  
9 module" would render limitations contained in claim 7 superfluous.  
10 Plaintiff further proposes that the construction of this term  
11 accounts for the fact that the interface module "draws a power  
12 supply from the computing device to energize the image sensing  
13 module and the motion mechanism to operate." Claim 1 already  
14 includes this limitation, suggesting the patentee did not  
15 contemplate that this feature was inherent in the term "interface  
16 module." See Phillips, 415 F3d at 1325 ("The inclusion of such a  
17 specific limitation on the term 'baffles' in claim 2 makes it  
18 likely that the patentee did not contemplate that the term  
19 'baffles' already contained that limitation.").

20           The court construes "interface module" as "interface  
21 engine located outside of the main case that houses the image  
22 sensing module and motion mechanism."  
23

24 2     "the image sensor array is energized by the power supply and  
25     controlled sensor control signals from the interface module"

26           Although initially disputed, the parties now agree that  
27 this phrase should be construed as "the image sensor array receives  
28 power supply, typically a 5-volt power, from the interface module

1 that is drawn from a notebook computer through a multi-wire cable.  
2 The image sensor array also receives control signals from the  
3 interface module which synchronizes the image sensor array with the  
4 motion mechanism." See Doc #40 at 11. The court adopts this  
5 construction.

6  
7 3 "compact house"

8 This term appears in independent claim 12. Once again,  
9 the dispute focuses upon which components are contained within the  
10 scanner's main case. Plaintiff proposes that the term be construed  
11 as "a compact case that can be made of light but rigid plastic  
12 material houses [sic] both image sensing module and motion  
13 mechanism." Plaintiff's proposed construction is clearly  
14 appropriate in light of the written description. See '309 patent,  
15 col 5, ll 10-14. The question remains whether "compact house"  
16 should be further construed, as defendants propose, to specify that  
17 "no other microcontroller or electronic components that control the  
18 operation of the image sensor and the illumination source" are  
19 housed within the compact house.

20 Defendants' proposed construction finds some support in  
21 the language of claim 12. Claim 12 provides that the color image  
22 sensing module and the motion mechanism are housed in the compact  
23 house. Id, col 11, ll 43-45. But claim 12 is silent about the  
24 interface card's location vis-a-vis the compact house. Id, ll 51.  
25 Understandably, defendants seize upon this, stating that "in  
26 drafting the claims, when the applicant wanted to say that a  
27 particular element resides in the 'compact house,' he specifically  
28 did so in the claims." Doc #40 at 6. Even so, under defendants'

1 reading of claim 12, the applicant did not intend the term "compact  
2 house" to convey, of itself, whether particular elements resided  
3 within or without the compact house.

4 As discussed, however, in connection with "interface  
5 module," *supra*, it is clear from the specification that the  
6 patentee intended only to claim scanners where the "scanner itself"  
7 contains only the components necessary to operate (I e, an image  
8 sensing module and a motion mechanism). It is clear that the  
9 compact house is the main case for the "scanner itself," for, as  
10 defendants point out, the term "main case" and "compact case" are  
11 used interchangeably in the specification. See Doc #40 at 4 n2.

12 The court construes "compact house" as "compact case that  
13 can be made of light but rigid plastic material and that houses  
14 only a color image sensing module and a motion mechanism."

15  
16 4 "color image sensing module"

17 This term first appears in claim 12. The parties dispute  
18 whether this term contemplates an image sensing module that can  
19 utilize a single source of white light in lieu of multiple colored  
20 light sources. Defendants argue that the specification only  
21 contemplates color image sensing modules that operate by the  
22 illumination of three colored lights. Doc #40 at 10. Thus,  
23 defendants propose the following construction: "an image sensing  
24 module that is capable of sensing color images, through the  
25 illumination of multiple colored lights." *Id.* It appears that  
26 defendants use the word "multiple" rather than "three" in order to  
27 avoid a construction that would render superfluous the limitations  
28 set forth in claim 17. See '309 patent, col 12, ll 19-23 (claiming

1 the "mobile scanner as recited in claim 16; wherein the color image  
2 sensing module comprises a first illumination source that further  
3 comprises three colored lights").

4           It is true that the written description only describes  
5 image sensing modules where the illumination source comprises three  
6 primary colored lights. See, e g, id, col 7, ll 34-35; col 8, ll  
7 51-56. But nowhere does the specification disclaim embodiments  
8 that utilize a single light source; nor does the specification  
9 distinguish prior art on this basis. At the hearing, defendants  
10 attached great weight to the following passage from the  
11 specification:

12           Typically, the illumination source comprises three  
13 primary colored lights, such as red, green and blue.  
14 To reproduce a color image, three primary color  
15 intensity images must be obtained. In other words,  
A/D converter 508 receives three analog signals  
respectively for each of the colored lights and  
produces respectively three digital signals.

16 Id, col 7, ll 34-37 (emphasis added).

17 Based on the word "must," defendants argue that the patentee  
18 understood that three primary colored lights are necessary to  
19 reproduce a color image. See CCS Fitness, 288 F3d at 1366-67 ("[A]  
20 claim term will not carry its ordinary meaning if the intrinsic  
21 evidence shows that the patentee \* \* \* described a particular  
22 embodiment as important to the invention.").

23           The strength of defendants' argument is further bolstered  
24 by the following passage from the written description, which,  
25 curiously, neither party has addressed:

26           Those skilled in the art understand that back  
27 illumination source 602 may be implemented with a  
28 single LED or a fluorescent light controlled by an  
"ON" signal at connector 603, and alternatively with  
three colored lights similar to red LED 604, green

1 LED 606 and blue LED 608 \* \* \*."

2 '309 patent, col 8, ll 39-44.

3 Given the consistency with which the patentee stated that the front  
4 illumination source comprises three primary colored lights, the  
5 description of alternative embodiments of the back illumination  
6 source is meaningful. The patentee clearly contemplated that a  
7 fluorescent light or other single light source would suffice for  
8 the back illumination source. If the patentee believed the same to  
9 be true for the illumination source contained in the color image  
10 sensing module, he would have made that clear.

11 Plaintiff relies upon the following sentence:

12 "'Typically, the three colored lights are red, green and blue light  
13 tubes stimulated by one or more red, green or blue LEDs.'" See Doc  
14 #43 at 6 (quoting '309 patent, col 9, ll 36-38). According to  
15 plaintiff, the phrase "one or more" makes clear that the patentee  
16 contemplated embodiments where the front illumination source  
17 utilized only one light source. The court is unconvinced. The  
18 natural reading of this language is that each of exactly three  
19 light tubes is stimulated by one or more LEDs. Further, the court  
20 agrees with defendants that the word "typically" is directed toward  
21 the frequency with which the three lights are tubes stimulated by  
22 LEDs and not the frequency with which there are three (as opposed  
23 to some other number of) light sources. Thus, the word typically  
24 does not imply that the patentee contemplated embodiments utilizing  
25 fewer than three light sources. The court concludes that the  
26 patentee did not contemplate that the illumination source contained  
27 in the image sensing module (as distinguished from the "second" or  
28 "back" illumination source) could be implemented except by three

1 colored lights.

2           Because the written description clearly contemplates  
3 embodiments utilizing "CCD" sensors in lieu of "CMOS" sensors, the  
4 court rejects plaintiff's proposed construction to the extent it  
5 incorporates the CMOS sensor. See '309 patent, col 8, ll 64-67.

6           The court construes "color image sensing module" to mean  
7 "an image sensing module comprising (1) an image sensor comprising  
8 photodetectors capable of sensing the full spectrum of color from  
9 scanning objects and (2) an optical lens that collects incident  
10 light that is either reflected by an opaque scanning object  
11 illuminated by three colored lights or transmitted by a transparent  
12 scanning object."

13  
14 5       "interface card"

15           Once again, the parties dispute whether the interface —  
16 this time a card, not a module — can be located in the scanner's  
17 main case.

18           Reading the specification as a whole, it is clear that an  
19 interface card is a particular embodiment of the interface engine.  
20 For example, the written description provides that "[a]ccording to  
21 one embodiment, interface engine 312 is so designed and implemented  
22 in a PC Card \* \* \*." '309 patent, col 6, ll 40-41; see also id,  
23 col 3, ll 45-46 ("Mobile scanner 100 is connected, through a  
24 communication cable 112 to an interface engine housed in a card  
25 114."). Further, the specification suggests that the interface  
26 card is received in a computing device. See id, ll 52-53; see also  
27 id, col 2, ll 43-45 (stating in the "Summary of the Invention" that  
28 "[b]oth of the image sensing module and the motion mechanism are

1 coupled to an interface engine that is typically received in a  
2 computing device"). Without placing undue reliance upon extrinsic  
3 evidence, the court notes at least one dictionary definition  
4 confirming that interface cards are commonly understood as an  
5 interface device received into a computing device. See PCMag.com  
6 Encyclopedia (defining "interface card" with reference to the term  
7 "expansion board," which in turn is defined as a "printed circuit  
8 board that plugs into an expansion slot on the motherboard and  
9 extends the computer's capability to control a peripheral device")  
10 (available at [www.pcmag.com/encyclopedia](http://www.pcmag.com/encyclopedia)).

11 Plaintiff's proposed construction accounts for other  
12 aspects of the interface card (e g, PCMCIA compliance) already set  
13 forth in limitations of claims that depend on claim 12, thereby  
14 violating the doctrine of claim differentiation. See, e g, Comark  
15 Communications, Inc v Harris Corp, 156 F3d 1182, 1187 (Fed Cir  
16 1998) (stating that the doctrine of claim differentiation creates  
17 "a presumption that each claim in a patent has a different scope").

18 The court construes "interface card" as "interface engine  
19 implemented in a card that is received in an external computing  
20 device."

21  
22 B

23 *The '506 Patent*

24 1 "mobile scanner"

25 This term first appears in claim 1. Plaintiff proposes a  
26 construction that details the scanner's USB functionality.  
27 Defendants propose a construction that references the scanner's  
28 ability to scan both transparent and opaque objects.

1 Defendants' position is amply supported by the  
2 specification. The very first sentence of the "Summary of the  
3 Invention" states that "an object of the present invention to  
4 provide a portable dual-mode scanner device \* \* \*." '506 patent,  
5 col 2, ll 24-25. "In construing claims, the problem the inventor  
6 was attempting to solve, as discerned from the specification \* \* \*  
7 is a relevant consideration." CVI/Beta Ventures, Inc v Tura LP,  
8 112 F3d 1146, 1160 (Fed Cir 1997). And, more than once, the  
9 patentee distinguished prior art based on the ability of the  
10 present invention to scan both transparent and opaque scanning  
11 objects. See '506 patent, col 2, ll 64-67; id, col 6, ll 12-16.

12 As to plaintiff's proposed construction, claim 1 already  
13 specifies that the mobile scanner comprises "a universal serial bus  
14 interface module coupling the image sensing module and the motion  
15 mechanism to a computing device and receiving power and system  
16 control signals therefrom." Id, col 10, ll 35-39. Accordingly, it  
17 is unlikely the patentee contemplated that the term "mobile  
18 scanner" already contained that limitation.

19 The same logic does not defeat defendants' proposed  
20 construction. Claim 18 recites a mobile scanner "wherein the  
21 mobile scanner can scan an opaque document without the base case  
22 being mounted and wherein the mobile scanner can scan a transparent  
23 document with the base case being mounted." Id, col 12, ll 33-36.  
24 At the hearing, however, defendants argued that the thrust of claim  
25 18 is to disclose the scanner's ability to scan opaque documents  
26 when the base case is not mounted and transparent documents when  
27 the base case is mounted, and not the scanner's dual-mode  
28 capabilities in general. Defendants' argument was convincing.



1           The court construes "mobile scanner" as "a dual-mode  
2 scanner that is compact, energy efficient and lightweight enough to  
3 be used as an accessory to a laptop computer." See id, col 1, ll  
4 44-45; col 2, ll 13-15.

5  
6       2       "interface engine"

7           This term first appears in claim 13. As with the '309  
8 patent, the parties' dispute focuses primarily upon whether the  
9 interface between the scanner and the computing device can reside  
10 within the scanner's main case. Defendants contend that it cannot.  
11 In the context of the '506 patent, this construction is  
12 unsupportable. The claim language makes clear that the interface  
13 engine is contained within the main case in some embodiments. See  
14 id, col 12, ll 12-14 (reciting a mobile scanner "wherein the  
15 interface engine is enclosed in the main case and communicate with  
16 the computing device through the serial bus port"). Further, and  
17 unlike the '309 patent, the "Summary of the Invention" section  
18 provides that "the scanner itself comprises an image sensing  
19 module, a motion mechanism and an interface engine." Id, col 2, ll  
20 34-36. Defendants' proposed construction is accordingly rejected.

21           Aside from the location of the interface engine, the  
22 parties' proposed constructions are directed at the components of  
23 and functions performed by the interface engine. Although  
24 construction of other limitations in claim 13 would be a proper  
25 vehicle for addressing these matters, construction of the term  
26 "interface engine" is not. The court will construe other  
27 limitations in claim 13 if and when the parties assert them or it  
28 otherwise becomes necessary.

C

*The '707 Patent*1     "portable scanner"

2  
3  
4           The parties dispute whether sheet-fed scanners should be  
5 included within the scope of the invention. Plaintiff's proposed  
6 construction excludes sheet-fed scanners, but plaintiff would  
7 compromise on a construction that excludes only "conventional"  
8 sheet-fed scanners. Doc #43 at 10.

9           The court finds it unnecessary to construe "portable  
10 scanner" in a way that contrasts the invention from sheet-fed or  
11 flatbed scanners, "conventional" or otherwise. By its own terms,  
12 claim 6 limits the invention to portable scanners comprising a  
13 motion mechanism that rolls the object to be scanned through the  
14 device. '707 patent, col 8, ll 43-44. Further, if scanners are  
15 conventional because they cannot be carried as an accessory to a  
16 laptop computer, see Doc #39 at 10, then the court's construction  
17 excludes conventional scanners without injecting the imprecise term  
18 "conventional."

19           The court construes "portable scanner" as "a scanner that  
20 is able to be carried by people as an accessory to a laptop  
21 computer." See '707 patent, col 1, ll 54-56.

22  
23     2     "image sensing module"

24           This term first appears in claim 6. Although a matter of  
25 dispute in the joint claim construction statement, the parties have  
26 agreed to the following construction: "a contact image sensor  
27 comprises [sic] an image sensor, an optical lens system and a front  
28 illumination source, all integrated in a tubular casting." Doc #39

1 at 10; Doc #40 at 18. The court adopts this construction.

2  
3 "front illumination source"

4 Although a matter of dispute in the joint claim  
5 construction statement, the parties have stipulated to the  
6 following construction: "a light source providing front  
7 illumination to the scanning object when the scanning object is  
8 opaque." Doc #39 at 11; Doc #40 at 18. Although a step in the  
9 right direction, a clearer construction is supported by the written  
10 description. The court construes "front illumination source" as "a  
11 light source providing illumination to the front face of the  
12 scanning object when the scanning object is opaque." See '707  
13 patent, col 5, ll 5-9.

14  
15 "back illumination module"

16 Once again, the parties have agreed to the following  
17 construction of an initially disputed term: "back illumination  
18 module provides illumination from the back of a transparent  
19 scanning object and is in parallel with the image sensing module in  
20 order for a scanning object to pass through between them." Doc #39  
21 at 11; Doc #40 at 18-19. The phrase "and is in parallel with the  
22 image sensing module in order for a scanning object to pass through  
23 between them" is unnecessary because claim 6 already provides for  
24 this limitation. See '707 patent, col 8, ll 40-42 (reciting that  
25 the image sensing module and back illumination module are  
26 "integrated in parallel and forming a scanning gap therebetween").

27 The court construes "back illumination module" as "a  
28 module providing illumination from the back of the scanning object

1 when the scanning object is transparent." See id, col 5, ll 19-21.

2  
3 5 "said image sensing module and back illumination module  
4 integrated in parallel and forming a scanning gap  
5 therebetween"

6 The parties now agree that this phrase should be  
7 construed as "the image sensing module and back illumination module  
8 are integrated in parallel and located on opposite sides to form a  
9 gap, typically, one-eighth of an inch, and thus provide an optical  
10 path for the scanning object regardless opaque [sic] or  
11 transparent." Doc #39 at 12; Doc #40 at 19. The phrase "and thus  
12 provide an optical path for the scanning object regardless opaque  
13 [sic] or transparent" is unnecessary because claim 6 already  
14 provides for this limitation. See '707 patent, col 8, ll 43-47  
15 (reciting that "motion mechanism roll[s] said scanning object  
16 through said scanning gap regardless said scanning object is opaque  
17 or transparent").

18 Accordingly, the court construes this phrase as "the  
19 image sensing module and back illumination module are integrated in  
20 parallel and located on opposite sides to form a gap, typically  
21 one-eighth of an inch in width."

22  
23 6 "means for detecting whether said scanning object is opaque or  
24 transparent"

25 This limitation is set forth in independent claims 7 and  
26 13. The parties dispute whether this phrase should be construed as  
27 a means-plus-function limitation. "A claim limitation that  
28 actually uses the word 'means' will invoke the rebuttable

1 presumption that § 112 ¶ 6 applies." CCS Fitness, 288 F3d at 1369.  
2 The presumption is rebutted "where a claim recites a function, but  
3 then goes on to elaborate sufficient structure, material, or acts  
4 within the claim itself to perform entirely the recited function."  
5 Sage Prods v Devon Industries, Inc, 126 F3d 1420, 1427-28 (Fed Cir  
6 1997). Here, claims 7 and 13 recite a function without elaborating  
7 any structure, material or act to perform the recited function.  
8 Hence, 35 USC § 112(6) applies.

9 "The first step in construing a means-plus-function claim  
10 limitation is to define the particular function of the claim  
11 limitation." Golight, Inc v Wal-Mart Stores, Inc, 355 F3d 1327,  
12 1333 (Fed Cir 2004). The function of a means-plus-function claim  
13 limitation should be construed to include "the limitations  
14 contained in the claim language, and only those limitations." *Id*  
15 (internal quotations omitted). The function recited in claim 13 is  
16 "detecting whether said scanning object is opaque or transparent."  
17 '707 patent, col 9, ll 27-28.

18 "The next step in construing a means-plus-function claim  
19 limitation is to look to the specification and identify the  
20 corresponding structure for that function." Golight, 355 F3d at  
21 1334. The written description clearly identifies one structure  
22 that performs the claimed function: "[A] pair of light source  
23 (emitter) 330 and photodetector 332 is used to detect if scanning  
24 object is opaque or transparent. Preferably, light source 330 and  
25 photodetector 332 are aligned so that photodetector 332 is always  
26 activated by the light source." '707 patent, col 5, ll 58-62.

27 Plaintiff contends that the specification includes other  
28 corresponding structures that perform the claimed function.

1 Specifically, plaintiff points to the following language:

2           It can be appreciated by those skilled in the  
3 art that there are many other ways that can instruct  
4 the control circuit to turn on the appropriate  
5 illumination source for the right scanning object.  
6 One of the ways is simply based on a manual  
7 determination. In other words, there can be  
8 installed a button accessible by a user of the  
9 scanner, the front illumination source is a default  
10 selected illumination source for all scanning  
11 objects. When the user has a transparent sheet to  
12 scan, the button can be pressed to activate the  
13 illumination source and meanwhile turn off the front  
14 illumination so that a proper illumination light  
15 source is always provided.

16 Id, col 6, ll 7-18.

17           "A structure disclosed in the specification qualifies as  
18 'corresponding' structure only if the specification or prosecution  
19 history clearly links or associates that structure to the function  
20 recited in the claim." Default Proof Credit Card System, Inc v  
21 Home Depot USA, Inc, 412 F3d 1291, 1298 (Fed Cir 2005) (citing B  
22 Braun Medical, Inc v Abbott Laboratories, 124 F3d 1419, 1424 (Fed  
23 Cir 1997)). Based on the portion of the written description cited  
24 by plaintiffs, it would appear the manual button structure does not  
25 correspond to the function of detecting whether the scanning object  
26 is opaque or transparent. Rather, based on the written  
27 description, the manual button is a structure that "instruct[s] the  
28 control circuit to turn on the appropriate illumination source for  
the right scanning object." Further, a manual button would seem to  
be incapable of detecting whether the scanning object is opaque or  
transparent. See Webster's Third New Int'l Dictionary 616 (1981)  
(defining "detect" as "to determine the presence of (a signal)").  
That function would be performed by the user.

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1 Complicating matters, however, claim 15 recites the  
2 portable scanner in claim 13 "wherein said detecting means is a  
3 manual button." '707 patent, col 10, ll 10-11; see also Medtronic,  
4 Inc v Advanced Cardiovascular, 248 F3d 1303, 1313 (Fed Cir 2001)  
5 (suggesting it is proper to look to dependent claims for an  
6 association between the function and a particular structure).  
7 Claim 15 thus establishes, ostensibly, a link between the manual  
8 button structure and the function of detecting whether the scanning  
9 object is opaque or transparent.

10 The court is thus faced with a situation that appears to  
11 have gone unaddressed by the Federal Circuit: the specification  
12 (here, a claim) establishes an association between the claimed  
13 function and a structure that appears to be incapable of performing  
14 that function according to the plain meaning of the language  
15 describing the function. The Federal Circuit has suggested that  
16 the court's focus should be upon whether the specification  
17 establishes a link between structure and function and not upon  
18 whether the structure is capable of performing the function. See  
19 Medtronic, 248 F3d at 1311-12. On the other hand, the Federal  
20 Circuit has unequivocally stated that "[t]he corresponding  
21 structure to a function set forth in a means-plus-function  
22 limitation must actually perform the recited function \* \* \*."  
23 Asyst Technologies, Inc v Empak, Inc, 268 F3d 1364, 1371 (Fed Cir  
24 2001) (emphasis added).

25 The court finds it unnecessary to resolve that tension  
26 here. Reading claims 13, 14 and 15 against the written  
27 description, it is clear that the patentee, acting as his own  
28 lexicographer, ascribed a meaning to the word "detecting" slightly

1 different from its ordinary meaning. By "detecting," the patentee  
2 meant "instructing the control circuit." See '707 patent, col 6, l  
3 8. According to this reading, the manual button described in the  
4 written description is a corresponding structure.

5 Finally, plaintiff's proposed construction must be  
6 rejected to the extent it includes unspecified structures "as  
7 understood by those skilled in the art." Doc #43 at 12. See Fonar  
8 Corp v General Electric Co, 107 F3d 1543, 1551-52 (Fed Cir 1997)  
9 (rejecting other structures that were not specifically identified  
10 in the specification).

11 In light of the foregoing, the court finds that the  
12 "means for detecting whether said scanning object is opaque or  
13 transparent" should be construed in accordance with 35 USC §  
14 112(6). The claim function is to instruct the control circuit  
15 whether the scanning object is opaque or transparent. The  
16 corresponding structures disclosed in the specification is (1) the  
17 combination of light source (emitter) 330 and photodetector 332,  
18 which are aligned so that photodetector 332 is always activated by  
19 light source 330 and (2) a button accessible by a user of the  
20 scanner that can be pressed to activate the back illumination  
21 source and turn off the front illumination source.

22  
23 7 "detecting means indicates that said scanning object is  
24 opaque"

25 This phrase appears in claim 8, which is dependent upon  
26 claim 7, where the means-plus-function limitation discussed  
27 immediately above first appears. Nobody disputes that "detecting  
28 means" refers to the means-plus-function limitation in claim 7.



1 Defendants proposed construction entails a new means-plus-function  
2 analysis. This extra step is unnecessary because claim 8 does not  
3 recite a function separate from that set forth in claim 7. Cf York  
4 Prods, 99 F3d at 1574. Rather, this phrase merely addresses one of  
5 two possible outcomes after the corresponding structure has  
6 performed its function.

7 Accordingly, the court construes this phrase by  
8 incorporating the structure corresponding to the function set forth  
9 in the means-plus-function limitation of claim 7: "light source  
10 (emitter) 330 is blocked by the opaque scanning object, thereby  
11 inactivating photodetector 332."

12  
13 IV

14 In sum, the court has construed (or expressly declined to  
15 construe at this time) all disputed claim terms and phrases of the  
16 three patents-in-suit. With respect to language that the court has  
17 declined to construe, should future circumstances require that it  
18 be given a definitive construction, a party may move for  
19 construction of that language.

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1           Notwithstanding any further orders the court may make  
2 regarding claim construction, this order shall be deemed to be the  
3 "claim construction order" for scheduling purposes. Within two  
4 weeks of the filing of this claim construction order, the parties  
5 shall submit a proposed schedule for further proceedings.

6  
7           SO ORDERED.

8  
9           

10           VAUGHN R WALKER

11           United States District Chief Judge  
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